

CALTRANS COLLABORATION...

A NEWSLETTER FROM THE CALTRANS PARTNERING PROGRAM

JULY-SEP 2014

TEAM SPOTLIGHT:

*2014 EXCELLENCE IN PARTNERING AWARD CEREMONY-
GOOD THINGS COME IN THREES!*

This year's "Excellence in Partnering" award ceremony ***was a hit***. 17 projects received awards with the Best in Class going to the top projects in each of 3 categories. (For the full list of projects see the back page.)



Best in Class Winner for Projects below \$10m

Nordahl Road Bridge Project D-11, San Diego County

RE: Faridun Javed
PM: Chris Wyss, Flatiron West, Inc.
Facilitator: Robert Dorn, Advance Management Systems



Best in Class Winner for Projects \$10m - \$50m

Rte-5 Median Shoulder Improvement Project D-7, LA County

RE: Hassan Fayad
PM: Marcus Hanson, Vanguard Construction
Facilitator: Neal Flesner, Ventura Consulting



Best in Class for Projects Greater than \$50m

Highway 65 Lincoln Bypass Project D-3, Placer County

RE: Carl Berexa
PM: Rich Hufford, Desilva Gates Construction / FCI JV
Facilitator: Sue Dyer, OrgMetrics

To confirm that Partnering is working on Caltrans projects, one just needs to look at the results from the 17 project winners:

- 15 projects were completed with no lost time accidents
- 17 projects were on time or early
- 15 projects were finished within budget
- 7 projects utilized VECPs
- 16 projects utilized dispute resolution ladders
- 13 projects had zero claims

To achieve these results, the project teams used many of the Partnering Programs best practices, which include:

Professional facilitation; co-creating a team partnering charter; holding a kick-off partnering session and follow-up sessions; engaging stakeholders in the partnering effort; establishing a dispute resolution ladder; administering a monthly scorecard and evaluating the results; and participating in team-building activities.

Congratulations 2014 winners!!!!

PARTNERING TIP

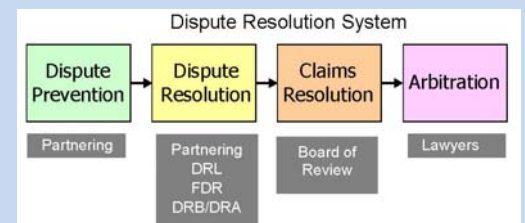
ALTERNATIVE DISPUTE RESOLUTION

"More than an end to war, we want an end to the beginnings of all wars."

-Franklin D. Roosevelt

During WW II President Franklin D. Roosevelt toiled over how to end the war. While he and his generals strategized how to end the war, he also thought about how to prevent future conflicts. In a similar vein here in the Partnering program, our goal is to resolve disputes early and prevent them from becoming claims or going to arbitration.

Caltrans' Alternative Dispute Resolution (ADR) system provides the following tools and processes to give teams the best opportunity to avoid arbitration: partnering, dispute resolution ladder, facilitated dispute resolution, dispute resolution board, and board of review.



All construction projects inevitably involve some level of dispute between the owner and contractor. It is the nature of the business. What is not inevitable, however, is the need to go to arbitration. By using the ADR system, teams develop the partnering values of fairness, cooperation, trust, open and honest communication, teamwork, and joint problem solving. Once these values are established, positive attitudes emerge and from our positive attitudes come our positive behaviors. Therefore, teams that develop Partnering values behave in collaborative fashion and tend to resolve disputes more quickly and administer their projects efficiently. For guidance in using the ADR system, see the *Field Guide to Partnering* at www.CaltransPartnering.com.

Partnering Program

Are you aware that there is a committee that is responsible for helping to improve the Caltrans Partnering Program? It is called the Caltrans Construction Partnering Steering Committee (CCPSC). The members of this committee are dedicated Caltrans construction executives and construction industry executives that meet quarterly to develop policy that drives the Partnering program. The committee is in its eighth year making improvements to the program so that the teams working on the projects will have a better chance for success and be able to focus on what they like best - building projects. The goal of the committee has been to help improve a program in which Caltrans, the contractor and stakeholders can work in a collaborative environment while managing disputes using agreed upon tools and procedures with successful outcomes.



PARTNERING AWARDS

The Caltrans 2014 “Excellence in Partnering” award ceremony recognized 17 teams for successfully delivering their projects using Partnering best practices. See the list of winners below.

The benefits from these award-winning projects included: \$34 million in savings and a savings of 248 working days. The return on every dollar invested in Partnering returned \$192 in project savings. You don’t need to ask your investment advisor if that is a good investment!

To view the award ceremony, photos and program, click on the following link: www.CaltransPartnering.com.

To apply for the 2015 Caltrans “Excellence in Partnering” awards, start now by capturing photos and success stories from your project. That way when you go to prepare your application, you won’t have to start from scratch. The 2015 “Excellence in Partnering” application deadline is January 16, 2015.

2014 EXCELLENCE IN PARTNERING AWARD WINNERS

Projects Less Than \$10 million			
Highway 113 Grind and Groove	D3	O.C. Jones & Sons, Inc	Bronze
Lower Lake Bonded Wearing Course Project	D1	Windsor Fuel	Bronze
Nice Roundabout	D1	Granite Construction Inc.	Bronze
Nordahl Road bridge	D11	Flatiron West, Inc.	Gold
Projects Between \$10 million and \$50 million			
I-40 Pavement Rehabilitation	D8	Security Paving Company	Bronze
North Weed LLP	D2	J.F. Shea Co., Inc.	Silver
I-10 Aux Lanes Project/ I-10 Etiwanda Ave Project	D8	CC Myers, Inc.	Gold
North Red Bluff Rehab	D2	Tullis Inc.	Gold
Route 5 Median Shoulder Improvements	D7	Vanguard Construcion	Gold
Highway 12 Lodi Project	D10	DeSilva gates Construction	Gold
Route 47, Vincent Thomas Bridge Repair Project	D7	Griffith Company	Gold
Highway 101 Paving	D4	Ghilotti Bros., Inc.	Gold
Project Greater than \$50 million			
I-215 Segment 5 & 11	D8	MCM Construction	Silver
I-5 Pavement Rehabilitation	D3	Granite Construction Inc.	Silver
San Bernardino Freeway (I-10) Restoration Project	D7	Atkinson Contractors, LP	Silver
Highway 65 Lincoln Bypass	D3	DeSilva Gates Construction	Gold
LA 10 & 110 HOT Lanes (Metro ExpressLanes)	D7	Atkinson Contractors, LP	Gold

FREQUENTLY ASKED QUESTIONS?

Q: I’m a Caltrans Resident engineer working on a project that requires partnering. I have worked with this contractor many times and we get along well. My question is, do I still need to do formal Partnering that requires a professional facilitator?

A: The quick answer is yes. You are fortunate to have a good working relationship with this contractor and hopefully that continues into the future, but you will still have to follow the partnering Standard Specification, Section 5-1.09, which requires formal Partnering using a professional facilitator. Not following the partnering process because you have a good relationship with the contractor is like not buying car insurance because you have not been in an accident. In fact, teams that get along and follow the partnering process have learned to use the partnering sessions to co-develop solutions and strategies to further improve the project outcomes.

Q: I am a new Resident Engineer on a project that just got under way. How do I know if Partnering is required and if it is where do I start?

A: If a project bid is greater than \$10 million and greater than 100 working days then Partnering is required under the 2010 Standard Specifications Section 5-1.09. To help you get started you can visit the partnering program website (www.CaltransPartnering.com), which is full of resources to help guide you through the partnering process. There is also a Partnering coordinator in each district to provide support. The list of coordinators is on the bottom of the Partnering website.

To get started quickly, you will want to invite the contractor to partner and jointly select a professional Partnering facilitator (see the list of facilitators on the partnering website). Once you have the facilitator on board they will help you structure your kick-off meeting, develop your charter, and schedule follow-up sessions.

If you are new to partnering or need a refresher to strengthen your understanding of the Partnering program you will want to take the “Fundamentals of Partnering” training. The schedule of training classes can be found on the Partnering website.

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www.CaltransPartnering.com

